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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/575,307	05/19/2000	Ernesto A. Brovelli	3086/1154 (PS0299)	1112
28533 7	02/01/2005		EXAM	INER
IN RE: ALTICOR INC. 28533			MELLER, MICHAEL V	
BRINKS, HOP P.O. BOX 103	FER, GILSON & LIONE		ART UNIT	PAPER NUMBER
CHICAGO, IL 60610			1654	
			DATE MAILED: 02/01/200	5

Please find below and/or attached an Office communication concerning this application or proceeding.

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1	Application No.	Applicant(s)
	09/575,307	BROVELLI ET AL.
Office Action Summary	Examiner	Art Unit
ι.		
The MAILING DATE of this communication ap	Michael V. Meller	correspondence address
Period for Reply		
 A SHORTENED STATUTORY PERIOD FOR REPLITHE MAILING DATE OF THIS COMMUNICATION. Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication. If the period for reply specified above is less than thirty (30) days, a replicities of the period for reply is specified above, the maximum statutory period for reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b). 	36(a). In no event, however, may a reply be y within the statutory minimum of thirty (30) d will apply and will expire SIX (6) MONTHS fro e, cause the application to become ABANDON	timely filed ays will be considered timely. Im the mailing date of this communication. NED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on <u>03 Ja</u>	<u>anuary 2005</u> .	
2a) This action is FINAL . 2b) This	action is non-final.	
3) Since this application is in condition for allowa		
closed in accordance with the practice under <i>l</i>	Ex parte Quayle, 1935 C.D. 11,	453 O.G. 213.
Disposition of Claims		
4) Claim(s) <u>1-7,12-16 and 18-20</u> is/are pending ir	n the application.	
4a) Of the above claim(s) <u>1-6 and 12-16</u> is/are		
5) Claim(s) is/are allowed.		
6) Claim(s) <u>7, 18-20</u> is/are rejected.		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restriction and/o	or election requirement.	
Application Papers		
9) The specification is objected to by the Examine	J.	
10) The drawing(s) filed on is/are: a) acc		e Examiner.
Applicant may not request that any objection to the		
Replacement drawing sheet(s) including the correct	tion is required if the drawing(s) is c	bjected to. See 37 CFR 1.121(d).
11) The oath or declaration is objected to by the Ex	kaminer. Note the attached Offic	e Action or form PTO-152.
Priority under 35 U.S.C. § 119		
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. & 110(a (d) or (f)
a) \square All b) \square Some * c) \square None of:		
1. Certified copies of the priority document	s have been received.	
2. Certified copies of the priority document		ation No
3. Copies of the certified copies of the prio	rity documents have been recei	ved in this National Stage
application from the International Bureau	u (PCT Rule 17.2(a)).	
* See the attached detailed Office action for a list	of the certified copies not receiv	ved.
Attachment(s)		
1) Notice of References Cited (PTO-892) 2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	4) Interview Summa Paper No(s)/Mail	
3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)	5) 🛄 Notice of Informa	Patent Application (PTO-152)
Paper No(s)/Mail Date	6) [] Other:	
U.S. Patent and Trademark Office	ction Summon	Part of Paper No /Mail Date 2

DETAILED ACTION

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

Claims 1-6, 12-16 remain withdrawn from consideration for the reasons of record.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 18-20 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 18 needs a period.

Claim 19 is confusing because it is not clear what mg/ml refers to. It would be clearer if applicant relates the amount of fraction (mass of extract used) to the mass of the patient to which it is being administered to, otherwise it is not clear what mg/ml is really referring to.

Claim 20 is confusing because it is not clear how this claim further limits claim 18.

Claim Rejections - 35 USC § 102

Claims 7, 18-20 are rejected under 35 U.S.C. 102(e) as being anticipated by Raskin et al. (paragraph 15 and page 29, left column).

Raskin teaches that the claimed extract is administered to a patient which has been extracted with chloroform. Applicant argues that the extract is not an acidic chloroform aerial extract, but as noted on page 3, paragraphs, 14-17, the extraction is done with acetic acid. The extraction is clearly done with chloroform on the leaf (aerial part).

Claim Rejections - 35 USC § 103

Claims 7, 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Intelisano taken with Facino or Raskin et al.

Intelisano teaches that *Echinacea purpurea* extracts (fractions) are known to be administered for therapeutic purposes. Intelisano does not teach using the specific solvent chloroform.

Facino teaches to administer extracts of *Echinacea purpurea* root (page 1448). Facino also uses acidic chloroform to perform his extractions (page 1449).

Raskin teaches what is above.

Thus, it would have been obvious to use chloroform to extract the fractions of Intelisano since Facino and Raskin both teach beneficial results using chloroform.

Applicant argues that they have unexpected results. In figure 2, the root fraction activity using acidic chloroform is lower than using petroleum ether. Because of this, the results are inconclusive. Further, the claims are not commensurate in scope with the declarations and evidence supplied by applicant.

To use the specific amounts claimed is simply routine optimization and would have been well within the purview of the skilled artisan.

Claims 7, 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Raskin et al. (paragraph 15 and page 29, left column).

Raskin teaches that the claimed extract is administered to a patient which has been extracted with acidic chloroform. See above arguments.

Applicant argues that they have unexpected results. In figure 2, the root fraction activity using acidic chloroform is lower than using petroleum ether. Because of this, the results are inconclusive. Further, the claims are not commensurate in scope with the declarations and evidence supplied by applicant.

To use the specific amounts claimed is simply routine optimization and would have been well within the purview of the skilled artisan. Claims 7, 18-20 are rejected under 35 U.S.C. 103(a) as being unpatentable over Facino et al.

Facino teaches to administer extracts of *Echinacea purpurea* root (page 1448). Facino also uses acidic chloroform to perform his extractions (page 1449).

Applicant argues that they have unexpected results. In figure 2, the root fraction activity using acidic chloroform is lower than using petroleum ether. Because of this, the results are inconclusive. Further, the claims are not commensurate in scope with the declarations and evidence supplied by applicant.

To use the specific amounts claimed is simply routine optimization and would have been well within the purview of the skilled artisan.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Michael V. Meller whose telephone number is 571-272-0967. The examiner can normally be reached on Monday thru Thursday: 9:30am-6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Bruce Campell can be reached on 571-272-0974. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

> Michael V. Meller Primary Examiner Art Unit 1654

MVM

•	PTO/SB/08B (08-03)
	Approved for use through 06/30/2008. OMB 0651-0031
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(u)	Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT
Y	INFORMATION DISCLOSURE
	STATEMENT BY APPLICANT

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Complete if Known			
Application Number	09/575,307		
Filing Date	May 19, 2000		
First Named Inventor	Brovelli, et al.		
Art Unit	1654		
Examiner Name	Michael V. Meller		
Attorney Docket Number	NP4053)	

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
MM	C1	KUO-HSIUNG LEE, "Anticancer Drug Design Based on Plant-Derived Natural Products", Journel of Biomedical Science 1999; 6:236-250, Natural Products Laboratory, Division of Medicinal Chemistry and Natural Products, School of Pharmacy, University of North Carolina, Chapel Hill, N.C., USA	
Examine Signature		Date Considered //25/05	\sum

*EXAMINER: Initial If reference considered, whether or not citation is in contormance with MPEP 609. Draw line through citation if not in conformance and not

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of Information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.